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EXAMINER

KIANERSI, MITRA

ART UNIT PAPER NUMBER

2143

DATE MAILED: 12/24/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/703,919

Applicant(s)

NAJMI, FARRUKH S.

Examiner

mitra kianersi

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 03 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 06 January 2003.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-6 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-6 is/are rejected.
- 7) ☒ Claim(s) 3 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 30 October 2000 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. §§ 119 and 120

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 13) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.
- a) ☐ The translation of the foreign language provisional application has been received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

Claims 1-6 have been examined.

### ***Claim Objections***

Claim 3 is objected to because of the following informalities: The claim is not complete and does not end with a period. Appropriate correction is required.

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-6 are rejected under 35 U.S.C. 102(e) as being anticipated by McCormick (US 2002/0040352).

1. As per claim 1, McCormick teach a method of memorializing an e-business audit trail, comprising:

-determining if a message is to be sent to a subscriber by a message service;  
(corresponds to Message Bus is established that allows messages to be passed among the parties within the business network. The Message Bus can be implemented using a commercial messaging system, such as systems based on the Java Messaging Service. Paragraph [0031]) and (the Message Bus/Event Channel itself is

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maintenance event. This may include storing the reply, displaying it or performing further processing on the reply event. Paragraph [0234])

-if the administrator is not running, storing the message by the message service; (corresponds to listening in and retrieving broadcast messages; participating in inter-party messaging; retrieving messages no longer available on broadcast Paragraph [0038]

-if the administrator is running, storing a response to the sent message in the topic in the administrator corresponding to the sent message; (corresponds to all "actual" events are created based on their corresponding Event Specification (i.e., "template" Events).Paragraph [0966])

-if the administrator is not running, storing the response by the message service; (corresponds to listening in and retrieving broadcast messages; participating in inter-party messaging; retrieving messages no longer available on broadcast. paragraph [0038] the operation name and the output results produced by the service application, and is stored in a data store for later access and processing. Paragraph [0076]

-creating an audit trail by retrieving the stored sent message and the corresponding response. (corresponds to all "actual" events are created based on their corresponding Event Specification (i.e., "template" Events).Paragraph [0966])

2. As per claim 2, McCormick teach a method, wherein the message service is part of an enterprise computer system. (corresponds to enterprise systems. Paragraph [0037])

3. As per claim 3, McCormick teach a method, wherein the enterprise computer system includes a subscribing portion coupling a first partner and a second partner that includes (corresponds to the Message Bus/Event Channels providing the ability for all applications to communicate through a shared mechanism, thus eliminating costly point-to-point integration and shielding applications from each other's complexities. Paragraph [0049])

4. As per claim 4, McCormick teach a method, wherein the subscribing portion comprises a subscription manager arranged to receive a message from the first partner; (subscribe mechanism for event message transfer. paragraph [0171],

-a subscription message adapter coupled to the subscription manager arranged to convert the first partner message from a first partner message format to a second partner message format; (corresponds to presentation/formatting/interface" processing.

-a partner adapter coupled to the subscription manager adapter arranged send the appropriately formatted message to the second partner. paragraph [0843],

-a partner adapter coupled to the subscription manger adapter arranged send the appropriately formatted message to the second partner. (corresponds to allowing different relationships to be established between the different network participants across networks and allowing the appropriate EBP requests to be submitted by the participants to facilitate inter-networking. Paragraph [0040])

5. As per claim 5, McCormick teach a method, wherein the enterprise computer system includes a receiving portion coupling the second partner and the first partner. (corresponds to the EBP, Elementary Business Process application allocating the appropriate channels for both receiving EBP requests and publishing replies. Paragraph [0154])

6. As per claim 6, McCormick teach a method, the receiving portion comprises

-a delivery message adapter coupled to the partner adapter suitably configured to receive a response from the second partner by way of the partner adapter arranged to convert the response from the second partner message format to the first partner message format; a delivery manager coupled to the delivery message adapter arranged to deliver the response to the first partner.(corresponds to parent/child process where, within this process, the parent and child solution are linked to ensure appropriate solution delivery. Paragraph [0639])

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claim 3 is rejected under 35 U.S.C. 112, first paragraph, as based on a disclosure, which is not enabling. Providing sufficient information is critical or essential to the practice of the invention, but not included in the claim(s) is not enabled by the disclosure. See *In re Mayhew*, 527 F.2d 1229, 188 USPQ 356 (CCPA 1976). Claim 3 is not a complete claim.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter, which the applicant regards as his invention.

Claim 3 rejected under 35 U.S.C. 112, second paragraph, as being incomplete for omitting essential elements, such omission amounting to a gap between the elements. See MPEP § 2172.01. The omitted elements are: The required limitation for the claim analysis.

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7. Claims 4-6 are dependent to claim 3. They are analyzed and rejected by the same rationale.


### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mitra Kianersi whose telephone number is (703) 305-4650. The examiner can normally be reached on 7:00AM-4:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Wiley can be reached on (703) 308-5221. The fax phone number for the organization where this application or proceeding is assigned is (703) 746-9923.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

Mitra Kianersi  
Dec/20/2003

  
**DAVID WILEY**  
**SUPERVISORY PATENT EXAMINER**  
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